| (RE        | V. 7-200   | -1390 (Modified) U.S. PATENT AND TRADEM   | MARK OFFICE; U.S. DEPARTMENT OF COMMERCE  | ATTORNEY'S DOCKET NUMBER                                |  |  |  |  |  |  |  |
|------------|--|---|---|---|--|--|--|--|--|--|--|
|            | FRANSMITTAL LETTER TO THE UNITED STATES 01640454AA |   |   |   |  |  |  |  |  |  |  |
| 1          |  | DESIGNATED/ELECTE   | ED OFFICE (DO/EO/US)  | U.S. APPLICATION NO. (If known, see 37 CFR 1.           |  |  |  |  |  |  |  |
|            | CO   | NCERNING A SUBMISS  | SION UNDER 35 U.S.C. 371  |   |  |  |  |  |  |  |  |
| INT        | ERNA   | ATIONAL APPLICATION NO.   | INTERNATIONAL FILING DATE   | PRIORITY DATE CLAIMED                                   |  |  |  |  |  |  |  |
| TIT        | LE OF  | PCT/US2005/06788  INVENTION   | 3 MARCH 2005  | 5 MARCH 2004  |  |  |  |  |  |  |  |
| HA         | RDW  | ARE OBJECT REQUEST BROK   | KER ON A CHIP FOR GENERATING SI   | PADATE CONTROL AND DATE                                 |  |  |  |  |  |  |  |
| СН         | ANN  | ELS FOR IMPROVED THROUG   | GHPUT EFFICIENCY  | ETARATE CONTROL AND DATA                                |  |  |  |  |  |  |  |
|            |  | NT(S) FOR DO/EO/US  |   |   |  |  |  |  |  |  |  |
| Jen        | rey H  | I. REED; Pablo M. ROBERT  |   |   |  |  |  |  |  |  |  |
| App        | licant   | horovitt.   |   |   |  |  |  |  |  |  |  |
|            | IICant   | nerewith submits to the United State  | s Designated/Elected Office (DO/EO/US) the                                      | following items and other information:                  |  |  |  |  |  |  |  |
| 1.         | _  | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.    |   |   |  |  |  |  |  |  |  |
| 2.<br>3.   |  | This is a SECOND or SUBSEQUI  | ENT submission of items concerning a submi                                      | ssion under 35 U.S.C. 371.                              |  |  |  |  |  |  |  |
| ٥.         |  | (9) and (24) indicated below.   | in national examination procedures (35 U.S.C                                    | 3. 371(f)). The submission must include items (5), (6), |  |  |  |  |  |  |  |
| 4.         | $\boxtimes$  | The US has been elected (Article  | 31).  |   |  |  |  |  |  |  |  |
| 5.         | $\boxtimes$  | A copy of the International Applica   | ation as filed (35 U.S.C. 371 (c)(2))   |   |  |  |  |  |  |  |  |
|            |  | a. 🛛 🤃 is attached hereto (requi  | red only if not communicated by the Internati                                   | ional Bureau)   |  |  |  |  |  |  |  |
|            |  | b.  has been communicated by the International Bureau.                              |   |   |  |  |  |  |  |  |  |
| ^          |  | c. is not required, as the ap   | oplication was filed in the United States Recei                                 | ving Office (RO/US).                                    |  |  |  |  |  |  |  |
| 6.         |  | An English language translation of  | fthe International Application as filed (35 U.S.                                | .C. 371(c)(2)).   |  |  |  |  |  |  |  |
|            |  | <ul><li>a.  is attached hereto.</li><li>b.  has been previously subr</li></ul>      |   |   |  |  |  |  |  |  |  |
| 7.         |  |   | mitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |  |
|            |  | a.   are attached hereto (regu  | nternational Application under PCT Article 19                                   | (35 U.S.C. 371 (c)(3))                                  |  |  |  |  |  |  |  |
|            |  | b. have been communicated   | uired only if not communicated by the Internat<br>d by the Intemational Bureau. | ional Bureau).  |  |  |  |  |  |  |  |
|            |  | c. have not been made: how  | wever the time limit for making a control                                       |   |  |  |  |  |  |  |  |
|            |  | d. have not been made and   | wever, the time limit for making such amendm                                    | nents has NOT expired.                                  |  |  |  |  |  |  |  |
| 8.         |  |   |   | ticle 10 (25 H C C 274( )/2))                           |  |  |  |  |  |  |  |
| 9.         | $\boxtimes$  |   |   |   |  |  |  |  |  |  |  |
| 10.        |  | An English language translation of Article 36 (35 U.S.C. 371 (c)(5)).               | the annexes to the International Preliminary E                                  | Examination Report under PCT                            |  |  |  |  |  |  |  |
| 11.        |  | A copy of the International Prelimin  | ary Examination Report (PCT/IPEA/409).  |   |  |  |  |  |  |  |  |
| 12.        | $\boxtimes$  | A copy of the International Search Report (PCT/ISA/210).                            |   |   |  |  |  |  |  |  |  |
| ite        | ems 1  | 3 to 23 below concern document(s  |   |   |  |  |  |  |  |  |  |
| 13.        | $\boxtimes$  | An Information Disclosure Stateme   | ent under 37 C <b>F</b> R 1.97 and 1.98.  | i   |  |  |  |  |  |  |  |
| 14.<br>15  |  | An assignment document for record   | ding. A separate cover sheet in compliance w                                    | rith 37 CFR 3.28 and 3.31 is included.                  |  |  |  |  |  |  |  |
| 15.<br>16  |  | A FIRST preliminary amendment.  |   |   |  |  |  |  |  |  |  |
| 16.<br>17. |  | A SECOND or SUBSEQUENT preli  | minary amendment.   |   |  |  |  |  |  |  |  |
| 17.<br>18. | _  | A substitute specification.   |   |   |  |  |  |  |  |  |  |
| 19.        | _  | A power of attorney and/or change of  |   |   |  |  |  |  |  |  |  |
| 20.        | _  | A second conv of the published late   | quence listing in accordance with PCT Rule 1                                    | 3ter.2 and 37 CFR 1.821 - 1.825.                        |  |  |  |  |  |  |  |
| 21.        | _  | r sessing copy of the published international Application under 35 U.S.C. 154(d)(4) |   |   |  |  |  |  |  |  |  |
|            |  | Express Mail Label No.  | age dansiation of the international Application                                 | under 35 U.S.C. 154(d)(4)                               |  |  |  |  |  |  |  |

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| PCT/US2005/06788   | U.S. APPLICATIO   | N NO (if known, se   | e 37 CFR 1.5)                           | INTERNATION.         | AL APP        | PLICATION NO.  | l l    | ss it displays a vali<br>ATTORNEY'S DO |   |
|--|---|--|---|----------------------|---------------|----------------|--------|--|---|
| The following fees have been submitted:  24. ☑ Basic national fee  |   |  | ·                                       | PCT/US               | 2005          | /06788         |        |  |   |
| 24.  | 23. Other item  | ns or information  | :                                       |                      |               |                |        |  |   |
| 24.  | The following   | fees have been   | submitted:                              |                      |               |                | CAL    | CULATIONS                              | PTO USE                                 |
| 25.  | 24. 🛭 Basic nat   | tional fee   | • |                      |               | \$30           |        |  | T                                       |
| If the written opinion the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100  International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400  All other situations. \$500  TOTAL OF 24, 25 and 26 = \$500  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(f)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole sequence is \$130.00 for fumishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 8 - 20 = 0   | If the written opinion prepared by IPEA/ All other situations.                                      | on prepared by IS<br>US indicates all  | SA/US or the in<br>claims satisfy p     | Drovisions of PCT A  | rticle        | amination repo | ort \$ |  |   |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding listing in compilance with 37 CFR 1.821(c) or (e) or computer program. The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof (round up to a whole)  -100 = 0 /50 = 0  | by IPEA/US Search fee (37 CFI as an Interna International Searc previously co All other situations. | onof the ISA/US of indicates all claim R 1.445(a)(2)) hational Searching the Report prepared mmunicated to the indicated the | 0                                       | \$ \$100.00          |               |                |        |  |   |
| Additional fee for Specification and drawings filed in paper over 100 sheets (excluding listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program. The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets   | IOTAL   | OF 24. 25 and  | 126 =                                   |                      |               |                |        | \$600.00                               |   |
| Surcharge of \$130.00 for fumishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS  NUMBER FILED  NUMBER EXTRA  RATE  Total claims  8 -20 = 0  |   | _  |   |                      |               |                |        |  |   |
| CLAIMS         NUMBER FILED         NUMBER EXTRA         RATE           Total claims         8         - 20 =         0         x         \$50.00         \$0.00           Independent claims         1         - 3 =         0         x         \$200.00         \$0.00           MULTIPLE DEPENDENT CLAIMS (if applicable)         —         +         \$360.00         \$0.00           TOTAL OF ABOVE CALCULATIONS =         \$730.00           Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by         \$730.00   |   |  |   |                      |               |                | \$     | \$0.00                                 |   |
| CLAIMS         NUMBER FILED         NUMBER EXTRA         RATE           Total claims         8         -20 =         0         x         \$50.00         \$ \$0.00           Independent claims         1         -3 =         0         x         \$200.00         \$ \$0.00           MULTIPLE DEPENDENT CLAIMS (if applicable)         □         +         \$360.00         \$ \$0.00           TOTAL OF ABOVE CALCULATIONS =         \$ \$730.00           Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by         a  | Surcharge of <b>\$130.</b><br>declaration after the   | 00 for fumishing date of comme   | any of the sea                          | rch fee, examination | n fee,<br>CER | or the oath or | \$     | \$130.00                               |   |
| Number   Number | CLAIMS  |  |   |                      | Ĭ .           |                |        |  |   |
| Independent claims 1 - 3 = 0 x \$200.00 \$ \$0.00  MULTIPLE DEPENDENT CLAIMS (if applicable)   | Total claims  | 8  | - 20 =                                  | 0                    | ×             | \$50.00        | - s    | 20.00                                  |   |
| MULTIPLE DEPENDENT CLAIMS (if applicable) + \$360.00 \$ \$0.00  TOTAL OF ABOVE CALCULATIONS = \$730.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by   | Independent claims  | 1  | - 3=                                    | 0                    | ×             |                | ┫      |  |   |
| TOTAL OF ABOVE CALCULATIONS = \$ \$730.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by  | MULTIPLE DEPENI   | DENT CLAIMS (  |   |                      |               |                |        |  |   |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by   |   |  | TOTAL                                   | OF ABOVE CA          | ALCI          |                |        |  |   |
|  | Applicant claim     1/2.  | s small entity sta   | atus. See 37 C                          | FR 1.27. Fees abov   | e are         | reduced by     | \$     | \$365.00                               | *************************************** |
| SUBTOTAL = \$ \$2.5.00   |   |  |   |                      | S             | UBTOTAL :      | - 8    |  |   |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  | Processing fee of \$1<br>he earliest claimed  | 30.00 for fumish<br>priority date (37  | <b>-</b>                                |                      |               |                |        |  |   |
| TOTAL NATIONAL   |   |  | - s                                     | \$ \$365.00          |               |                |        |  |   |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$0.00   | ee for recording the accompanied by an  | enclosed assig<br>appropriate cove   |   |                      |               |                |        |  |   |
| TOTAL FEES ENCLOSED = \$ \$365.00  |   |  | \$                                      | \$ \$365.00          |               |                |        |  |   |
| Amount to be \$  |   |  |   |                      |               |                | Amou   | nt to be                               | \$                                      |
| Amount to be \$  |   |  |   |                      |               |                | Amou   | nt to be                               | \$                                      |

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. a. A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 50-2041 in the amount of \$ \$365.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. . A duplicate copy of this sheet is enclosed. 50-2041 d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card** information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Michael E. Whitham **SIGNATURE** Whitham, Curtis, Christofferson & Cook PC 11491 Sunset Hills Road, Suite 340 Olga V. Merkolouva Reston, Virginia 20190 NAME 703-787-9400 **CUSTOMER NUMBER 30743** REGISTRATION NUMBER September 5, 2006

DATE